

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James Chen

Application No./Patent No.: 7,018,395 Filed/Issue Date: March 28, 2006

Entitled: PHOTODYNAMIC TREATMENT OF TARGETED CELLS

Light Sciences Oncology, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventor To: Light Sciences Corporation
The document was recorded in the United States Patent and Trademark Office at Reel 015236, Frame 0228, or for which a copy thereof is attached.
2. From: Light Sciences Corporation To: Light Sciences Acquisition, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 019617, Frame 0075, or for which a copy thereof is attached.
3. From: Light Sciences Acquisition, LLC To: Light Sciences, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 019617, Frame 0078, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Karl L. Klassen
Signature

March 2, 2012
Date

Karl L. Klassen, Reg. No. 54,224
Printed or Typed Name

206-359-8000
Telephone Number

Attorney for the Assignee
Title

STATEMENT UNDER 37 CFR 3.73(b) - Supplemental Sheet

Continuation of chain of title from the inventor(s) to the current assignee.

4. From: Light Sciences, LLC To: Light Sciences Oncology, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 019617 , Frame 0080 , or for which a copy thereof is attached.
5. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.
6. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.
7. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.
8. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ , Frame _____ , or for which a copy thereof is attached.
9. From: _____ To: _____
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